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Atty. Docket No.

03-1106

Serial No.

09/289,550

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Friesen et al.

Filing Date:

4/9/1999

Group:

2164

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

ORK	APT Brochure, LIFFE Exchange, circa 1990*
CHK	Trading Screen, INTEX of Bermuda, circa 1984*
CRK	Weber, Information Technology in the Major International Financial Markets, April 7, 1993
AK	Trading Screen, TIFFE Exchange, circa 1989-1990*
CAX	Trading Screen, MEFF Exchange, circa 1990*
AK	Cavaletti, Order Routing Article, Futures Magazine, February 1997

EXAMINER <i>Charles H</i>	DATE CONSIDERED 4/17/05
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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CMK	Aurora Article, CBOT, circa 1989*
NR	One Click Trading Options, Trading Technologies, circa 1998
CK	Trading Screen, SWX Exchange, circa 1990*
CMK	Expanding futures and options trading around the world, around the clock, GLOBEX, circa 1989*
CMK	Hansell, The computer that ate Chicago, Institutional Investor, February 1989
CK	Globex Report: An update on the CME Global electronic exchange, February 10, 1989
CMK	NYMEX Access Documents, New York Mercantile Exchange, February 28, 1992
	CATS-Traders' Manual, Toronto Stock Exchange, September 30, 1977
	Market-Watch, Trading-Screen, date not available
CMK	Grummer, Peake, Sellers, Preliminary Feasibility Study, Bermudex Ltd., November 1980
CMK	Peake, Mendellson, The ABCs of trading on a national market system, Appendix C of Preliminary Feasibility Study, Bermudex Ltd., September 1997
CMK	Peake, The last 15 meters, Appendix E of Preliminary Feasibility Study, Bermudex Ltd., June 15, 1997
CMK	Declaration of Brendan Bradley in Case No. 04 C 5312, November 18, 2004
CMK	Memorandum Opinion Published February 9, 2005, of Judge James B. Moran in Case No. 04 C 5312

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